# Session 4 The flexibility of a new constitution

## Jeff King

## Remaking the UK Constitution: The Flexibility of a New Constitution

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### The Menu of Design Options

#### Basic Design Options

- 1. Legislative supermajorities
- 2. Reference to the people (referendums)
- 3. Double-decision rules
- 4. References to states, provinces or regions

#### Further Considerations

- Amendment vs. total revision
- 2. The power of initiating constitutional change
- 3. Different amending procedures for different provisions
- 4. Unamendable provisions

See further: Markus Böckenförde, Constitutional Amendment Procedures (IDEA, 2017).

### Legislative Supermajorities

- Used in most constitutional amendment procedures.
- Most common qualified majority formulas are 60% or 66%.

#### **Examples of Amending Formulas**

| US                                    | Germany                                    | Canada                                    | France                                 | UK                         |
|---------------------------------------|--|---|--|----------------------------|
| 66%<br>(Senate &<br>House of<br>Reps) | 66% of both<br>Houses<br>(Bundestag<br>and | Majority (Fed<br>Parl.)<br>+              | Majority (Nat<br>Assembly &<br>Senate) | Ordinary statute (if that) |
| +                                     | Bundesrat)                                 | (7 of 10 + 50% of population (Provinces)) | +<br>Referendum                        |                            |
| 75%(State legislatures)               |  |   |  |                            |

### Reference to the People (Referendums)

 Around 40% of constitutions make provisions for the use of referendums in constitutional amendments.

| Referendum required for all constitutional amendments                                   | e.g. Australia, Denmark,<br>Ireland, Japan |
|---|--|
| Referendum required only if most fundamental provisions amended or total revision       | e.g. Austria, Latvia, Spain                |
| Referendum normally required but can be bypassed by special legislative procedure       | e.g. France (art. 89); Italy<br>(art. 138) |
| Minority of members of legislature can decide amendment should be subject to referendum | e.g. Luxembourg (25%),<br>Sweden (33%)     |
| Non-legislative actors involved in calling a referendum                                 | e.g. Italy                                 |

#### **Double-Decision Rules**

| Time delays  | e.g. Italy, Estonia       |
|--|---------------------------|
| Intervening general elections – draft amendment put on hold until after next general election  | e.g. Finland, Greece      |
| Intervening general elections – legislature immediately dissolved after adoption of amendment as Act and fresh elections occur after | e.g. Iceland, Netherlands |

 Double-decision rules can also be combined with supermajority rules (e.g. Netherlands).

## References to States, Provinces or Regions

 Requirements might only apply to certain parts of the constitution dealing e.g. with federal system or respective powers of levels of government.

| Consent given by state or provincial legislatures                       | e.g. Canada, India, South<br>Africa, United States |
|---|--|
| Consent given by referendums in each state                              | e.g. Australia, Switzerland                        |
| Consent given by upper house representing state or provincial interests | e.g. Germany                                       |

### Silvia Suteu



# Constitutional entrenchment and deliberation

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#### Design choices



- 1. Mechanism for constitutional change
- Legislative procedure supermajorities
- Referendums
- Citizens' assemblies
- 2. Stage of the constitutional reform process
- 3. Function of the amendment mechanism

#### Entrenchment in perspective



- All constitutions involve a degree of flexibility and rigidity
- Amendment rules are part of the broader constitutional architecture
- Deliberation is not the only goal here

### Challenges for the UK



- Deliberative mechanisms are no panacea
- Paradox: do deliberative mechanisms need there to already be a deliberative culture in order to work?
- 'Rebuilding the ship at sea'

## Flexibility

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# The Core of Democratic Constitutionalism

- A central element of a democratic constitution concerns the rules for making, interpreting and implementing the rules
- Aim is to create a fair scheme of political cooperation among citizens that shows equal respect to their different views and incentivises collective decision making etc.. so that they are treated with equal concern.

# Constitutionalism, Flexibility and Rigidity

- Fairness so described is procedural it assumes we disagree and are partial to our own views (inevitably) and seeks impartiality through a fair process that gives us all a say and encourages us to 'hear the other side'.
- A flexible constitution is one that says all rules including changes to the rules for making the rules should be made according to the normal process for
  making the rules.
- Departures from the normal process designed to make decision-making harder in some way introduce rigidity of a less or greater degree.
- What reasons motivate reducing flexibility, and what forms might such reductions take?

## What Reasons Motivate Making a Constitution Less Flexible?

- Stability autonomy requires ability to plan, good to remind people of why what they want to change was put there in first place, avoidance of hasty changes/moral panics.
- Improving fairness a) ensuring equal respect (so everyone has a voice); b) ensuring equal concern (protecting unpopular minorities)
- Improving deliberation making sure people convinced the change is necessary and likely to work.
- Discouraging self-interested changes

### Forms of rigidity

#### Weak

Conventions (as RH noted regarding use of royal prerogative) Form of words – notwithstanding declarations for potential breaches of Human Rights Act

Time constraints – Fixed term Parliaments

Oversight by a different institution – Judicial Review 'weak form'

#### Strong

Changes to voting unit – internal – e.g. super majority for legislature, or need for votes either side of an election; external – referendum

Oversight by a different institution – Judicial Review 'strong form'

### Do the Forms Match the Reasons?

- The worries are a) a given measure may backfire and have the opposite effect to the one intended,
   b) in serving one reason, a given form may be at odds with another, and c) may be at odds with core aim of a constitution.
- What works may be very dependent on context –
  it depends on the particular problem (which may
  be hard to anticipate)
- By and large weak forms fare better than strong forms.

### Some examples

- Rules designed to give legal stability may create political volatility e.g. if a measure people feel is unjust becomes harder to reform. Deliberation may not be enhanced.
- A group fearing electoral defeat may see rigidity as a way of entrenching their policies.
- Super majorities assume status quo more likely to be better than proposed change – but why? – Goes against fairness of the process.
- Improving equal concern may conflict with equal respect.
- May lead to conflicts between institutions

# Weak may be sufficient, and less likely to have negative consequences

- Impossible to do without conventions. Would a rule not likely to be accepted as a convention be a legitimate rule?
- We cannot anticipate all problems or how they may present themselves – the road to hell can be paved with good intentions that backfire.
- Key feature of weak rules is that they enhance deliberation and stability while doing the least damage to procedural fairness.
- The normal rules can accommodate many of the issues about enhancing equal respect and concern (PR vs FPT) – that's where the action lies